

#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, Dov. Www.uspto.gov

## NOTICE OF ALLOWANCE AND FEE(S) DUE

22429

7590

08/28/2002

LOWE HAUPTMAN GILMAN AND BERNER, LLP 1700 DIAGONAL ROAD SUITE 310 /310 ALEXANDRIA, VA 22314 EXAMINER
YENKE, BRIAN P

ART UNIT CLASS-SUBCLASS
2614 725-039000

DATE MAILED: 08/28/2002

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
08/956,344	10/23/1997	RYOTA TSUKIDATE	041-1964	8578

TITLE OF INVENTION: PROGRAM INFORMATION BROADCASTING SYSTEM, BROADCASTING DEVICE, AND RECEIVING TERMINAL UNIT

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1280	\$0	\$1280	11/29/2002

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

## HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status is changed, pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above and notify the United States Patent and Trademark Office of the change in status, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check the box below and enclose the PUBLICATION FEE and 1/2 the ISSUE FEE shown above.

Applicant claims SMALL ENTITY status.
 See 37 CFR 1.27.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Box ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Box ISSUE FEE

**Commissioner for Patents** Washington, D.C. 20231

(703)746-4000 Fax

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1)

22429

08/28/2002

LOWE HAUPTMAN GILMAN AND BERNER, LLP 1700 DIAGONAL ROAD SUITE 310/310 ALEXANDRIA, VA 22314

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Box Issue Fee address above, or being facsimile transmitted to the USPTO on the date indicated below

	transmitted to the OSF TO, on the date mulcated below.
(Depositor's name	
(Signature	
(Date	

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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nonprovisional	NO	\$1280	\$0	\$1280	11/29/2002	
EXAMINER		ART UNIT CLASS-SUBCLASS				
YENKE, BRIAN P		2614	725-039000			
1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).			2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a			
☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.			single firm (having as a member a registered attorney or agent) and the names of up to 2			
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.			registered patent attorneys of is listed, no name will be pri	or agents. If no name		

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment. (B) RESIDENCE: (CITY and STATE OR COUNTRY) (A) NAME OF ASSIGNEE

lease check the appropriate assignee category or cate  a. The following fee(s) are enclosed:	4b. Payment of Fee(s):					
□ Issue Fee	☐ A check in the amount of the fee(s) is enclosed. ☐ Payment by credit card. Form PTO-2038 is attached.					
☐ Issue Fee ☐ Publication Fee						
☐ Advance Order - # of Copies	☐ The Commissioner is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number(enclose an extra copy of this form).					

(Authorized Signature)

(Date)

NOTE; The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
08/956,344 10/23/1997		RYOTA TSUKIDATE	041-1964	8578
22429	7590 08/28/2002		EXAMIN	ER
LOWE HAUPTMAN GILMAN AND BERNER, LLP			YENKE, BRIAN P	
1700 DIAGONA SUITE 310 /310			ART UNIT	PAPER NUMBER
ALEXANDRIA, VA 22314			2614	
UNITED STATES			DATE MAILED: 08/28/2002	

# Determination of Patent Term Extension under 35 U.S.C. 154 (b) (application filed after June 7, 1995 but prior to May 29, 2000)

The patent term extension is 0 days. Any patent to issue from the above identified application will include an indication of the 0 day extension on the front page.

If a continued prosecution application (CPA) was filed in the above-identified application, the filing date that determines patent term extension is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) system. (http://pair.uspto.gov)



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APPLICATION NO.	FIL	ING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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LOWE HAUPTMAN GILMAN AND BERNER, LLP			YENKE, BRIAN P		
1700 DIAGON SUITE 310 /31				ART UNIT	PAPER NUMBER
ALEXANDRIA, VA 22314			2614		
UNITED STATES			DATE MAILED: 08/28/2002		

## Notice of Possible Fee Increase on October 1, 2002

If a reply to a "Notice of Allowance and Fee(s) Due" is filed in the Office on or after October 1, 2002, then the amount due may be higher than that set forth in the "Notice of Allowance and Fee(s) Due" since there may be an increase in fees effective on October 1, 2002. See Revision of Patent and Trademark Fees for Fiscal Year 2003; Notice of Proposed Rulemaking, 67 Fed. Reg. 30634, 30636 (May 7, 2002). Although a change to the amount of the publication fee is not currently proposed for October 2002, if the issue fee or publication fee is to be paid on or after October 1, 2002, applicant should check the USPTO web site for the current fees before submitting the payment. The USPTO Internet address for the fee schedule is: <a href="http://www.uspto.gov/main/howtofees.htm">http://www.uspto.gov/main/howtofees.htm</a>.

If the issue fee paid is the amount shown on the "Notice of Allowance and Fee(s) Due," but not the correct amount in view of any fee increase, a "Notice to Pay Balance of Issue Fee" will be mailed to applicant. In order to avoid processing delays associated with mailing of a "Notice to Pay Balance of Issue Fee," if the response to the Notice of Allowance and Fee(s) due form is to be filed on or after October 1, 2002 (or mailed with a certificate of mailing on or after October 1, 2002), the issue fee paid should be the fee that is required at the time the fee is paid. If the issue fee was previously paid, and the response to the "Notice of Allowance and Fee(s) Due" includes a request to apply a previously-paid issue fee to the issue fee now due, then the difference between the issue fee amount at the time the response is filed and the previously paid issue fee should be paid. See Manual of Patent Examining Procedure, Section 1308.01 (Eighth Edition, August 2001).

Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.

	Application No.	Applicant(s)
	09/056 244	TOURIDATE ET AL
Notice of Allowability	08/956,344 Examiner	TSUKIDATE ET AL.  Art Unit
	BRIAN P. YENKE	2614
T. 444 NO 24TE CU:	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	
The MAILING DATE of this communication apperall claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIOF the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED in this app or other appropriate communication GHTS. This application is subject to	olication. If not included will be mailed in due course. <b>THIS</b>
1. A This communication is responsive to Amendment (03 June	<u> 2002)</u> .	
2. X The allowed claim(s) is/are <u>1-40</u> .		
3. $\square$ The drawings filed on $\_\_\_$ are accepted by the Examine		
<ol> <li>Acknowledgment is made of a claim for foreign priority uncapillation.</li> <li>All b) ☐ Some* c) ☐ None of the:</li> </ol>	ler 35 U.S.C. § 119(a)-(d) or (f).	
<ol> <li>Certified copies of the priority documents have</li> </ol>	been received.	
2.   Certified copies of the priority documents have	been received in Application No	·
3.  Copies of the certified copies of the priority do	cuments have been received in this r	national stage application from the
International Bureau (PCT Rule 17.2(a)).		
* Certified copies not received:		
5. $\square$ Acknowledgment is made of a claim for domestic priority u		onal application).
(a) The translation of the foreign language provisional a	• •	
6. Acknowledgment is made of a claim for domestic priority u	nder 35 U.S.C. §§ 120 and/or 121.	
Applicant has THREE MONTHS FROM THE "MAILING DATE" of below. Failure to timely comply will result in ABANDONMENT of	this communication to file a reply co	omplying with the requirements noted NTH PERIOD IS NOT EXTENDABLE
7. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which gives reas	nitted. Note the attached EXAMINER on(s) why the oath or declaration is	'S AMENDMENT or NOTICE OF deficient.
8. 🛛 CORRECTED DRAWINGS must be submitted.		
(a) including changes required by the Notice of Draftspers	son's Patent Drawing Review (PTO-	-948) attached
1) ☐ hereto or 2) ⊠ to Paper No. <u>10</u> .	-	·
(b) including changes required by the proposed drawing of	correction filed, which has be	een approved by the Examiner.
(c) ☐ including changes required by the attached Examiner	s Amendment / Comment or in the C	Office action of Paper No
Identifying indicia such as the application number (see 37 CFR 1. of each sheet. The drawings should be filed as a separate paper	84(c)) should be written on the drawin with a transmittal letter addressed to t	gs in the top margin (not the back) the Official Draftsperson.
<ol> <li>DEPOSIT OF and/or INFORMATION about the deposit attached Examiner's comment regarding REQUIREMENT FOR T</li> </ol>	sit of BIOLOGICAL MATERIAL n HE DEPOSIT OF BIOLOGICAL MA	nust be submitted. Note the TERIAL.
Attachment(s)		
1☑ Notice of References Cited (PTO-892)	2□ Notice of Informa	l Patent Application (PTO-152)
3☐ Notice of Draftperson's Patent Drawing Review (PTO-948)	4☐ Interview Summa	ary (PTO-413), Paper No
5 Information Disclosure Statements (PTO-1449), Paper No		
7☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material	8⊠ Examiner's State 9⊡ Other	ment of Reasons for Allowance

## Allowable Subject Matter

1. The following is an examiner's statement of reasons for allowance:

Regarding independent claims 1, 14, 19, 25 and 38;

The closest prior art of record Chaney et al., US 5,515,106, discloses a system which transmits a master program guide and a special program guide to a receiver, where the master program guide consists of programming for a couple of hours and where the special program guide consists of programming for a number of days, where both guides are generated by a scheduler. However, Chaney does not disclose broadcasting/generating a master guide of program information, where a basic guide of program information is assembled by extracting items of all programs from the master guide, and transmitting both guides simultaneously to a receiver, where the special guide is displayed prior to completion of receipt of the master guide.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

2. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Brian Yenke whose telephone number is (703) 305-9871. The examiner work schedule is Monday-Thursday, 0730-1830 hrs.

Application/Control Number: 08/956,344

Art Unit: 2614

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

Supervisor, John W. Miller, can be reached at (703)305-4795.

Any response to this action should be mailed to:

Commissioner of Patents and Trademarks

Washington, D.C. 20231

or faxed to:

(703) 872-9314

Hand-delivered responses should be brought to Crystal Park II, 2121 Crystal

Drive, Arlington, VA, Sixth Floor (Receptionist). Any inquiry of a general nature or

relating to the status of this application or proceeding should be directed to the

Technology Center 2600 Customer Service Office whose telephone number is

(703) 305-4700.

B.P.Y.

14 August 2002

JOHN MILLER

SUPERVISORY PATENT EXAMINER

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**TECHNOLOGY CENTER 2600**